

IFW

Attorney Docket No.: PATENT  
SSI-08500

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

William Dale Jones

Serial No.: 10/630,649

Filed: July 29, 2003

For: **CONTROL OF FLUID FLOW IN  
THE PROCESSING OF AN  
OBJECT WITH A FLUID**

) Group Art Unit: 3746  
)  
) Examiner: Rodriguez, William H.  
)  
) **TRANSMITTAL LETTER**  
)  
) 162 N. Wolfe Road  
) Sunnyvale, CA 94086  
) (408) 530-9700  
)  
) Customer No.: 28960

MS: Amendment  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

Enclosed please find a Supplemental Information Disclosure Statement, a Certification pursuant to 37 C.F.R. § 1.97(e) for the Supplemental Information Disclosure Statement, and Form PTO-1449, including copies of the references contained thereon, for filing in the U.S. Patent and Trademark Office.

The Commissioner is hereby authorized to charge any additional fee or credit overpayment to our Deposit Account No. 08-1275. **An originally executed duplicate of this transmittal is enclosed for this purpose.**

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 11-17-06

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant

CERTIFICATE OF MAILING (37 CFR § 1.8(a))  
I hereby certify that this paper (along with any referred to as being attached or enclosed) is being deposited with the U.S. Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to the: Commissioner for Patents, P.O. Box 1450 Alexandria, VA 22313-1450

HAVERSTOCK & OWENS LLP  
Date: 11-17-06 By: [Signature]



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In re Application of:	)	Group Art Unit: 3746
William Dale Jones	)	Examiner: Rodriguez, William H.
Serial No.: 10/630,649	)	<b><u>CERTIFICATION FOR</u></b>
Filed: July 29, 2003	)	<b><u>SUPPLEMENTAL INFORMATION</u></b>
For: <b>CONTROL OF FLUID FLOW IN</b>	)	<b><u>DISCLOSURE STATEMENT UNDER 37</u></b>
<b>THE PROCESSING OF AN</b>	)	<b><u>CFR § 1.97(e)</u></b>
<b>OBJECT WITH A FLUID</b>	)	162 N. Wolfe Road
	)	Sunnyvale, CA 94086
	)	(408) 530-9700
	)	Customer No. 28960

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

This certification is being made for the Supplemental Information Disclosure Statement accompanying this certification.

**Certification**

I, the person signing below, hereby certify that the printed publications contained in the enclosed supplemental information disclosure statement was cited within Notice of Allowance mailed on October 5, 2006. **Accordingly, I hereby certify that no reference contained within this supplemental information disclosure statement was cited in a communication from a foreign patent office in a related foreign application, and, to the knowledge of the person signing the statement, after making reasonable inquiry, no reference contained within this supplemental information disclosure statement was known to any individual designated in §1.56(c) more than three months prior to the filing of this supplemental information disclosure statement. 37 C.F.R. 1.97(e)(2)**

**Identification of Person Making Certification**

The person making this certification is the attorney who signs below on the basis of the information in the attorney's file.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 11-17-06

By: Thomas B. Haverstock  
Thomas B. Haverstock  
Reg. No.: 32,571

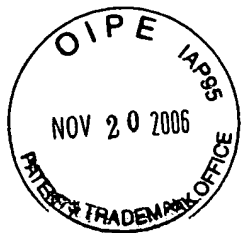
Attorneys for Applicant

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

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HAVERSTOCK & OWENS LLP

Date: 11-17-06 By: [Signature]



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) Group Art Unit: 3746

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) **SUPPLEMENTAL INFORMATION**  
) **DISCLOSURE STATEMENT**

) 162 N. Wolfe Road  
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) (408) 530-9700

) Customer No. 28960

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Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The citations listed below, copies attached, may be material to the examination of the above-identified application, and are therefore submitted in compliance with the duty of disclosure defined in 37 C.F.R. §§ 1.56 and 1.97. The Examiner is requested to make these citations of official record in this application.

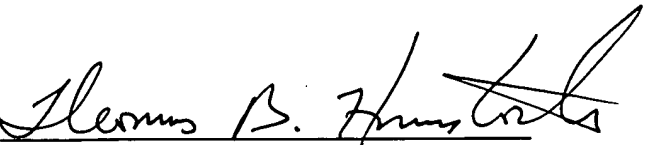
Applicant has become aware of the following printed publications which may be material to the examination of this application:

- U.S. Patent No. 5,242,641;
- U.S. Patent No. 5,434,107;
- U.S. Patent No. 6,103,638;
- U.S. Patent No. 6,532,772 B1;
- U.S. Patent No. 6,613,105 B1; and
- U.S. Patent No. 6,642,140 B1.

This Supplemental Information Disclosure Statement under 37 C.F.R. §§ 1.56 and 1.97 is not to be construed as a representation that a search has been made, that additional information material to the examination of this application does not exist, or that anyone or more of these citations constitutes prior art.

Respectfully submitted,  
HAVERSTOCK & OWENS LLP

Dated: 11-17-06


By:   
Thomas B. Haverstock  
Reg. No.: 32,571

Attorneys for Applicant

**CERTIFICATE OF MAILING (37 CFR § 1.8(a))**

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HAVERSTOCK & OWENS LLP

Date: 11-17-06 By: 

FORM PTO-1449  
(Modified)

NOV 20 2006

U.S. Department of Commerce  
Patent and Trademark Office

Attorney Docket No.: SSI-08500

Serial No.: 10/630,649

**INFORMATION DISCLOSURE STATEMENT BY APPLICANT**  
(Use Several Sheets If Necessary)

(37 CFR § 1.98(b))

Applicant: William Dale Jones

Filing Date: July 29, 2003

Group Art Unit: 3746

**U.S. PATENT DOCUMENTS**

Examiner Initials		Serial / Patent Number	Issue Date	Applicant / Patentee	Class	Subclass	Filing Date
	AA	5,242,641	09/07/1993	Homer et al.	264	104	07/15/1991
	AB	5,434,107	07/18/1995	Paranjpe	437	225	01/28/1994
	AC	6,103,638	08/15/2000	Robinson	438	778	11/07/1997
	AD	6,532,772 B1	03/18/2003	Robinson	65	182.2	09/02/1999
	AE	6,613,105 B1	09/02/2003	Moore	29	25.01	12/23/1999
	AF	6,642,140 B1	11/04/2003	Moore	438	631	09/03/1998
	AG						
	AH						
	AI						
	AJ						

**FOREIGN PATENTS OR PUBLISHED FOREIGN PATENT APPLICATIONS**

		Document Number	Publication Date	Country / Patent Office	Class	Subclass	Translation	
							Yes	No
	AK							
	AL							
	AM							
	AN							
	AO							
	AP							
	AQ							
	AR							
	AS							
	AT							

**OTHER DOCUMENTS (Including Author, Title, Date, Relevant Pages, Place of Publication)**

	AU	
	AV	
	AW	
	AX	
	AY	
	AZ	
	BA	
	BB	
	BC	

Examiner: .

Date Considered:

**EXAMINER:** Initial citation considered. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.